

Investment Risk Questionnaire

This questionnaire consists of 15 questions



Client Name: **Date:**

- 1** I would enjoy exploring investment opportunities for my money.

 - I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement

 - 2** I would go for the best possible return even if there were risk involved.

 - Always
 - Usually
 - Sometimes
 - Rarely
 - Never

 - 3** How would you describe your typical attitude when making important financial decisions?

 - Very Adventurous
 - Fairly adventurous
 - Average
 - Fairly cautious
 - Very cautious

 - 4** What amount of risk do you feel you have taken with your past financial decisions?

 - Very large
 - Large
 - Medium
 - Small
 - Very Small

 - 5** To reach my financial goal I prefer an investment which is safe and grows slowly but steadily, even if it means lower growth overall.

 - I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
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- 6** When I consider investments that have an element of risk I feel quite anxious.
- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
- 7** Imagine that six months after making an investment the financial markets start to perform badly. In line with this, your own investment goes down by a significant amount. What would your reaction be?
- Withdraw your money and put it in a savings account to prevent any further losses
 - Transfer your money to a more secure investment product to reduce the risk of further losses
 - Monitor the investment and wait to see if it improves
 - Invest more funds to take advantage of the lower price, expecting future growth
- 8** I am looking for high investment growth. I am willing to accept the possibility of greater losses to achieve this.
- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
- 9** If you had money to invest, how much would you be willing to place in an investment with possible high returns but a similar chance of losing some of your money?
- All of it
 - More than half
 - Half
 - Less than half
 - Very little, if any
- 10** How do you think that a friend who knows you well would describe your attitude to taking financial risks?
- Daring
 - Sometimes daring
 - A thoughtful risk taker
 - Careful
 - Very cautious and risk averse

11 If you had picked an investment with potential for large gains but also the risk of large losses how would you feel:

- Panicked and very uncomfortable
- Quite uneasy
- A little concerned
- Accepting of the possible highs and lows
- Excited by the potential for gain

12 Imagine that you have some money to invest and a choice of investment products, which option would you choose?

- A product with a low average annual return but almost no risk of loss of the initial investment
- A product with a higher average annual return but some risk of losing part of the initial investment
- A mixture of the two products above

13 I would prefer small certain gains to large uncertain ones

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

14 When considering a major financial decision which statement BEST describes the way you think about the possible losses or the possible gains?

- I'm excited about the possible gains
- I'm optimistic about possible gains
- I think about both the possible gains and losses
- I am conscious of the possible losses
- I worry about the possible losses

15 I want my investment money to be safe even if it means lower returns

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

Customer Signature: